

Momentum Global Funds

Société d'Investissement à Capital Variable

Registered Office: 6H route de Trèves, L-2633 Senningerberg, Luxembourg

Trade Register Number: B-161934

APPLICATION FOR SHARES IN MOMENTUM GLOBAL FUNDS SICAV (HEREAFTER THE COMPANY)

To be sent to:

Transfer Agency
JP Morgan Bank Luxembourg S. A. European Bank & Business Centre,
6H Route de Trèves,

L-2633 Senningerberg,
Grand Duchy of Luxembourg

Telephone: + (352) 462685140 Fax: + (352) 22 74 43

Principal & Registered Office:

European Bank & Business Centre,
6H Route de Trèves,
L-2633 Senningerberg,
Grand Duchy of Luxembourg

PLEASE COMPLETE USING BLOCK CAPITALS, PROVIDE ALL RELEVANT ANSWERS AND RETURN IN ORIGINAL TO THE TRANSFER AGENT

Please read and consider the relevant Prospectus and Key Investor Information Document (“KIID”) before completing this Account Opening Form. These documents can be found at www.momentumgim.com or by writing to Momentum GIM, 62 Queen Street, London, EC4R 1EB.



1a - Account Registration Details

For Retail Investors/ Individuals only

1. Applicant Name (single or first account holder)	
Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>
Forename(s)	Ms <input type="checkbox"/>
Surname	Miss <input type="checkbox"/>
Account Designation <i>(if applicable – designation may not exceed 18 characters)</i>	
Registered Address <i>(c/o or P/o address will not be accepted)</i>	
Telephone Number	
Fax Number	
Correspondence Address <i>(if different from registered address)</i>	
Email address	
Place & Country of Birth*	
Date of Birth* (mm-dd-yyyy)	
Passport Citizenship	
Passport Number***	
Certificate of Tax Residence**	
Tax Identification Number (TIN) <i>(if available)</i>	
Profession	
Source of Funds	
Anticipated Amount of Investment	
Dual Citizenship (yes/no)	



2. Applicant Name (second account holder)	
Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>
Forename(s)	Ms <input type="checkbox"/>
Surname	Miss <input type="checkbox"/>
Tax Identification Number (TIN) (if available)	
Place & Country of Birth*	
Date of Birth* (mm-dd-cc-yy)	
Passport Citizenship	
Passport Number***	
Certificate of Tax Residence**	
Tax Identification Number (TIN) (if available)	
Profession	
Dual Citizenship (yes/no)	

*For individuals/beneficial owners and/or joint applicants, if neither Tax Id Number nor the date and place of birth are provided the related EU Saving Directive Taxes (if applicable) will be withheld by default

** Certificate of Tax Residence is only required if the individual is resident outside the EU but carries an EU passport/ID Card

*** ID Card certified copy is an alternative to a passport certified copy

Please supply an additional sheet if additional account holders are required

Unless you, as Company, agree to arrangements to the contrary from any of the undersigned, please act upon our joint instructions in respect of subscriptions, conversions, redemptions and any other matters relating to our joint holding in any or all funds administered by the Administrator which are received by you from any one of us. For an umbrella fund, this authority will apply to all sub-funds.



1b- Account Registration Details

For Institutional Investors only

Company name / Name of registered holder	
Account Designation <i>(if applicable – designation may not exceed 18 characters)</i>	
Country of incorporation or organisation	
Registered Address <i>(c/o or P/o address will not be accepted)</i>	<hr/> <hr/> <hr/> <hr/>
Telephone Number	
Fax Number	
Correspondence Address <i>(if different from registered address)</i>	<hr/> <hr/> <hr/> <hr/>
Contact Name	
Source of Funds	
Anticipated Level of Investment	

2 - Type of Investor

(Please tick one of the following boxes otherwise application shall not be processed by Transfer Agency)

- Individual Listed Company Unlisted Company
Pension Scheme Investment Fund Trust/Trustee
Nominee Foundation/Charitable Institution Financial Institution

Other (please specify):



3 - Professional Adviser/Intermediary Details

(Section to be completed if 3^d party involved)

Name	
Agent Internal Id (if existing)	
Contact Name	
Address	 <hr/> <hr/> <hr/> <hr/>
Regulated Number of Intermediary	
Name of Regulatory Body	
Telephone Number	
Fax Number	
Professional Adviser's Stamp (mandatory)	
Signature of Professional Adviser (mandatory)	

I/We hereby confirm, as Professional Advisor/s, that we have verified the identity of the investor(s), any beneficial owner(s) and related parties (e.g. power of attorney holder) by viewing original identification documents. Copies of these documents will be kept by us for a minimum of five years after the termination of the relationship. Certified true copies of said identification documents will be provided to the Company and/or the Administrator upon reasonable request. We also confirm that we have provided the investor(s) with access to the latest KIID for the shareclass of the applicable Sub-Fund.

Dealing instructions from your Professional Adviser maybe acted upon in the case where an authorized Power Of Attorney (POA) has been accepted by the Company. Please forward an original duly signed POA or discretionary agreement which evidences in writing that POA has been granted by you to the professional adviser. Please provide identification documents of POA holder(s) as per the Anti Money Laundering (AML) requirements outlined in section 7 of this document



4 - Bank Account Details

The bank account details below shall apply to all electronic wires to and from my/our account

(If additional details to be applied for any reason please attach an original instruction duly signed in wet ink. Please note moneys will only be accepted from, and paid to an account in the name of the shareholder, no third party payments will be processed).

Currency EUR USD GBP AUD SGD

Name of Bank		
Address of Bank		
Swift/BIC (mandatory if IBAN used)		
Account Name <i>(payments will only be made to the bank account of the registered shareholder)</i>		
International Bank Account Number (IBAN) - <i>(mandatory for EUR payments if within EU zone)</i>		
Account Number		
Correspondent Bank (if applicable)	Bank Name	
	Swift/Sort Code	



Total subscription amount payable to be remitted indicating shareholder's name, account number and deal reference (if known) to the following bank details:

USD subscriptions

Bank	JPMorgan Chase Bank, N.A. New York
Swift / sort code	CHASUS33
Under direct Swift advise to	JPMorgan Chase Bank N.A. London (CHASGB2L)
For the account of	JPMorgan Chase Bank N.A. London (CHASGB2L)
Account No	0010962009
For Credit To	MOMENTUM GLOBAL FUNDS SICAV.
IBAN	GB06CHAS60924241455999
Account No	41455999 (Final Beneficiary)
Reference	Please quote your account number and deal reference, if known

EUR subscriptions

Bank	JPMorgan Chase Bank N.A. London
Swift / sort code	CHASGB2L
ABA No	Not applicable
For Credit To	MOMENTUM GLOBAL FUNDS SICAV.
IBAN	GB29CHAS60924241455973
Account No	41455973 (Final Beneficiary)
Reference	Please quote your account number and deal reference, if known

GBP subscriptions

Bank	JPMorgan Chase Bank N.A. London
Swift / sort code	CHASGB2L / 60-92-42
ABA No	Not applicable
For Credit To	MOMENTUM GLOBAL FUNDS SICAV.
IBAN	GB07CHAS60924241455981
Account No	41455981 (Final Beneficiary)
Reference	Please quote your account number and deal reference, if known

**AUD subscriptions**

Bank	ANZ Banking Corp, Melbourne
Swift / sort code	ANZBAU3M
ABA No	N/A
Under direct Swift advise to	JPMorgan Chase Bank N.A. London (CHASGB2L)
For the account of	JPMorgan Chase Bank N.A. London (CHASGB2L)
Account No	218032/00001
For Credit To	MOMENTUM GLOBAL FUNDS SICAV.
IBAN	GB38CHAS60924241456005
Account No	41456005 (Final Beneficiary)
Reference	Please quote your account number and deal reference, if known

SGD subscriptions

Bank	Oversea-Chinese Banking Corporation Limited Singapore
Swift / sort code	OCBCSGSG
ABA No	N/A
Under direct Swift advise to	JPMorgan Chase Bank N.A. London (CHASGB2L)
For the account of	JPMorgan Chase Bank N.A. London (CHASGB2L)
Account No	501474191001
For Credit To	MOMENTUM GLOBAL FUNDS SICAV.
IBAN	GB69CHAS60924241314118
Account No	41314118 (Final Beneficiary)
Reference	Please quote your account number and deal reference, if known



5 - Investment Details

- ✓ Comma stands for thousands
- ✓ Spot stands for decimals

Fund Name	Share Class	ISIN Code	CCY	Units	Amount
Harmony Portfolios Asian Balanced Fund	A	LU0651983552			
Harmony Portfolios Asian Balanced Fund	B	LU0651983636			
Harmony Portfolios Asian Balanced Fund	C	LU0651983719			
Harmony Portfolios Asian Balanced Fund	D	LU0651983800			
Harmony Portfolios Asian Balanced Fund	E	LU0795380350			
Harmony Portfolios Asian Balanced Fund	G	LU1071004151			
Harmony Portfolios Asian Balanced Fund	H	LU1700369561			
Harmony Portfolios Asian Growth Fund	A	LU0651983982			
Harmony Portfolios Asian Growth Fund	B	LU0651984014			
Harmony Portfolios Asian Growth Fund	C	LU0651984105			
Harmony Portfolios Asian Growth Fund	D	LU0651984287			
Harmony Portfolios Asian Growth Fund	E	LU0795380517			
Harmony Portfolios Asian Growth Fund	G	LU1071004078			
Harmony Portfolios Asian Growth Fund	H	LU1508132807			
Harmony Portfolios Australian Dollar Growth Fund	A	LU0651984360			
Harmony Portfolios Australian Dollar Growth Fund	B	LU0651984444			
Harmony Portfolios Australian Dollar Growth Fund	C	LU0651984527			
Harmony Portfolios Australian Dollar Growth Fund	D	LU0651984790			
Harmony Portfolios Australian Dollar Growth Fund	E	LU0795380608			
Harmony Portfolios Australian Dollar Growth Fund	G	LU1071004235			



Harmony Portfolios Australian Dollar Growth Fund	H	LU1508133102			
Harmony Portfolios Europe Diversified Fund	A	LU0651984873			
Harmony Portfolios Europe Diversified Fund	B	LU0651984956			
Harmony Portfolios Europe Diversified Fund	C	LU0651985094			
Harmony Portfolios Europe Diversified Fund	D	LU0651985177			
Harmony Portfolios Europe Diversified Fund	E	LU0795380780			
Harmony Portfolios Europe Diversified Fund	G	LU1071004318			
Harmony Portfolios Europe Diversified Fund	H	LU1508133102			
Harmony Portfolios Sterling Balanced Fund	A	LU0651985250			
Harmony Portfolios Sterling Balanced Fund	B	LU0651985334			
Harmony Portfolios Sterling Balanced Fund	C	LU0651985417			
Harmony Portfolios Sterling Balanced Fund	D	LU0651985508			
Harmony Portfolios Sterling Balanced Fund	E	LU0795380863			
Harmony Portfolios Sterling Balanced Fund	G	LU1071004409			
Harmony Portfolios Sterling Balanced Fund	H	LU1700369991			
Harmony Portfolios Sterling Growth Fund	A	LU0651985680			
Harmony Portfolios Sterling Growth Fund	B	LU0651985763			
Harmony Portfolios Sterling Growth Fund	C	LU0651985847			
Harmony Portfolios Sterling Growth Fund	D	LU0651985920			
Harmony Portfolios Sterling Growth Fund	E	LU0795380947			
Harmony Portfolios Sterling Growth Fund	G	LU1071004581			
Harmony Portfolios Sterling Growth Fund	H	LU1018599164			
Harmony Portfolios US Dollar Balanced Fund	A	LU0651986068			



Harmony Portfolios US Dollar Balanced Fund	B	LU0651986142			
Harmony Portfolios US Dollar Balanced Fund	C	LU0651986225			
Harmony Portfolios US Dollar Balanced Fund	D	LU0651986498			
Harmony Portfolios US Dollar Balanced Fund	E	LU0795381242			
Harmony Portfolios US Dollar Balanced Fund	G	LU1071004748			
Harmony Portfolios US Dollar Balanced Fund	H	LU1700370148			
Harmony Portfolios US Dollar Growth Fund	A	LU0651986571			
Harmony Portfolios US Dollar Growth Fund	B	LU0651986654			
Harmony Portfolios US Dollar Growth Fund	C	LU0651986738			
Harmony Portfolios US Dollar Growth Fund	D	LU0651986811			
Harmony Portfolios US Dollar Growth Fund	E	LU0795381598			
Harmony Portfolios US Dollar Growth Fund	G	LU1071004664			
Harmony Portfolios US Dollar Growth Fund	H	LU1508133011			



6 - Reporting Requirements

If you wish to receive contract notes, statements and portfolio valuations on a regular basis at the correspondence address indicated in section 1, please tick as appropriate. (Please note if left blank standard default (post) will apply).

Method of communication:

✓ Contract Notes

Post

Fax

Fax Number (s)

✓ Statement & Valuations

Post

Fax

Fax Number (s)

Email*

Email Address (es) (Please complete in capital letters)

Frequency for Statements & Valuations (please make one selection only)

Monthly

Semi-annually

Quarterly

Annually

Reporting Currency

EUR

USD

GBP

7 - Prevention of Money Laundering

AML documentation required

- ✓ *In the case of an individual/beneficial owner/all joint applicants:*
 - A certified true copy* of a valid passport or of an official identity card duly certified by a public authority.

PLEASE NOTE: If your signature does not appear on your passport or official identity card, your signature specimen will have to be certified together with the copy of the identity document.
- ✓ *In the case of a corporation or other legal entity, as a minimum:*
 - A certified true copy of the Certificate of Incorporation or recent extract of public company register and Articles of Association.
 - A current and dated authorised signatory list on company letterhead. An original copy or a certified true copy signed on each page in wet ink.
- ✓ *In the case of a minor*
 - A certified true copy of the minor's birth certificate
 - A certified true copy of the passports of all legal guardians
- ✓ *In the case of POA holder*
 - Certified true copies of identification documentation and an authorized signature list of the POA holder(s) must be received and validated by the Transfer Agency before the POA becomes effective. See attached POA form.

**Certification may be performed by a public authority such as a governmental agency, notary, the police in country of residence or the embassy/consulate and the date of certification must be within the previous 12 months.*

The Company and the Administrator reserve the right to ask for additional identification or other documentation depending on the circumstances of the investor, nature of any legal entity, relevant jurisdiction and any other factor considered relevant. If the applicant is investing on behalf of a third party, the third party has to be identified and duly accepted for investment by the Company unless the applicant is a professional of the financial sector regulated in a country subject to AML regulations equivalent to those in Luxembourg.

8 –Private Investors Individual Self-Certification

To be completed and signed if you are an individual investor, sole trader or sole proprietor.

Tax regulations¹ require us to collect certain information about each investor's tax residency. Each jurisdiction has its own rules for defining tax residence. In general, tax residence is the country in which you live. Special circumstances (such as studying abroad, working overseas, or extended travel) may cause you to be resident elsewhere or resident in more than one country at the same time (dual residency). The country/countries in which you pay income tax are likely to be your country/countries of tax residence. For more information on tax residence, please consult your tax adviser or the information at the OECD automatic exchange of information portal at <http://www.oecd.org/tax/automatic-exchange/>.

In certain circumstances (including if we do not receive a valid self-certification from you) we may be legally obliged to share information on your account with the Luxembourg Tax Authorities.

Should any information provided change in the future, please ensure you advise us of the changes promptly.

For joint or multiple account holders, use a separate form for each individual person.

Where you need to self-certify on behalf of an entity account holder, do not use this form. Instead, please complete section 9 of this form. Similarly, if you are a controlling person of an entity, please fill in a "Controlling person tax residency self-certification form" instead of this form.

If you are filling in this form on behalf of someone else, please tell us in what capacity you are signing in Part 2.

For example, you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a power of attorney.

A legal guardian should complete the form on behalf of an account holder who is a minor.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or domestic tax authority.

¹ The term 'tax regulations' refers to regulations created to enable automatic exchange of information and include FATCA², and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.

² The term 'FATCA' refers to The Foreign Account Tax Compliance provisions contained in the US Hire Act 2010.



Section 1: Country of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number* ("TIN")

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

If the Account Holder is tax resident in more than three countries please use a separate sheet.

If a TIN is unavailable please provide the appropriate reason A, B or C where indicated below:

Reason A - The country where the Account Holder is liable to pay tax does not issue TINs to its residents

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (*Please explain why you are unable to obtain a TIN in the below table if you have selected this reason*)

Reason C - No TIN is required. (*Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed*)

Country/Countries of Tax Residency	Taxpayer Identification Number (TIN)	If no TIN available enter Reason A, B or C

If you are not resident in any country for tax purposes, please tick this box:

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.



Section 2: Self-Certification Declaration

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with you setting out how you may use and share the information supplied by me.

I acknowledge that you may disclose and transfer to your parent companies, and your and their respective branches, subsidiaries, affiliates, representative offices, or third party service providers located anywhere in the world (in or outside the country or jurisdiction in which I reside, in which my relationship with you is maintained, in which the account or transaction is booked, in which information is collected and/or retained or in which the transaction is conducted) the information contained in this form and other information regarding the Account Holder and any Reportable Account(s) when considered necessary by you for your business purposes or in connection with, to comply with, or to facilitate compliance with, any law, regulation, court order or requirement (including under any code, guideline, standard, policy, circular or notice) of a governmental, regulatory, supervisory, law enforcement, prosecuting, tax or similar authority or industry body in any jurisdiction existing currently or in the future, or for the purposes of ongoing cooperation with such governmental, regulatory and/or statutory authority, or to comply with any agreement or arrangement with such authority or between such authorities in any jurisdiction existing currently or in the future, I consent to and instruct and authorize you to make such disclosures and transfers and expressly waive any protection or right under data protection, confidentiality, or any other applicable law, to the extent necessary for such disclosures and transfers.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the Company within 90 days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide the Financial Institution that maintains the account with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.

Name:				
Permanent Residence Address:				
Place of birth:	Date of birth (dd/mm/yyyy):			
Signature:	Date (dd/mm/yyyy):			
Note: If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.				
Capacity:				



9 - Non-Private Investors Entity Self-Certification

To be completed and signed if you qualify as an organisation.

We are required to collect certain information about each investor's tax residency and tax classifications in order to fulfil our obligations under the Foreign Account Tax Compliance provisions (commonly known as FATCA) contained in the US Hire Act of 2010. In certain circumstances we may be obliged to share this information with relevant tax authorities.

Please complete all sections below as directed. We are obliged to provide information about your account(s) to the Luxembourg tax authorities on an annual basis if we do not receive a valid and complete self-certification form from you within 90 days of first requesting you to complete it. If any of the information below about your tax residency or FATCA classification changes in the future, please ensure you advise us of these changes promptly.

If you have any questions about your organisation's classifications in the form below, please contact your tax advisor.

Section 1: Country of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number* ("TIN")

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

If the Account Holder is tax resident in more than three countries please use a separate sheet.

If a TIN is unavailable please provide the appropriate reason A, B or C where indicated below:

Reason A - The country where the Account Holder is liable to pay tax does not issue TINs to its residents

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (*Please explain why you are unable to obtain a TIN in the below table if you have selected this reason*)

Reason C - No TIN is required. (*Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed*)

Country/Countries of Tax Residency	Taxpayer Identification Number (TIN)	If no TIN available enter Reason A, B or C

NB: the relevant definitions can be found as an appendix to this application form.



Section 2: Classification under applicable tax regulations

Classifications relating to FATCA

Please tick one box only in this section, with reference to the tax residency stated in box 1.1.

2.1	Luxembourg Financial Institution or a Partner Jurisdiction Financial Institution ¹	<input type="checkbox"/>
2.2	Participating Foreign Financial Institution ²	<input type="checkbox"/>
2.3	Non-Participating Foreign Financial Institution ²	<input type="checkbox"/>
2.4	Financial Institution resident in the USA or in a US Territory	<input type="checkbox"/>
2.5	Deemed Compliant Foreign Financial Institution (other than those listed above) ²	<input type="checkbox"/>
2.6	Exempt Beneficial Owner ²	<input type="checkbox"/>
2.7	Active Non-Financial Entity ²	<input type="checkbox"/>
2.8	Passive Non-Financial Entity ²	<input type="checkbox"/>

A Passive Non-Financial Entity will be required to submit individual tax residence self-certifications for each of its Controlling Persons² with 25% or more ownership.

If there are no Controlling Persons² with 25% or more ownership please tick this box

Classifications relating to CRS

2.1	Financial Institution – Investment Entity	<input type="checkbox"/>
	(i) .An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution(<i>Note: if ticking this box please also complete Part 2.8</i>)	<input type="checkbox"/>
	(ii) Other Investment Entity	<input type="checkbox"/>
2.2	Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company	<input type="checkbox"/>

If you have ticked 2.1 or 2.2 above, please provide, if held, the Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.

2.3	Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	<input type="checkbox"/>
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If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded: _____

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation _____

¹ All terms are defined as per the intergovernmental Agreement ('IGA') between the Government of the Grand Duchy of Luxembourg and the Government of the United States of America to Improve International Tax Compliance with respect to The United States information reporting provisions commonly known as FATCA

² These terms as defined in the relevant U.S. Treasury Regulations or the relevant IGA with the Government of the United States of America.



that the Entity in (c) is a Related Entity
of: _____

2.4	Active NFE – a Government Entity or Central Bank	<input type="checkbox"/>
2.5	Active NFE – an International Organisation	<input type="checkbox"/>
2.6	Active NFE – other than 2.3-2.5	<input type="checkbox"/>
2.7	Passive NFE (<i>Note: if ticking this box please also complete Part 2.8 below</i>)	<input type="checkbox"/>

2.8. If you have ticked 2.1 (i) or 2.7 above, then please:

a) Indicate the name of any Controlling Person(s) of the Account Holder:

b) Complete “Controlling Person tax residency self-certification form” for each Controlling Person. This is available as a separate document from the Transfer Agent (see contact details on page 1)

Note: If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official. (See definition of Controlling Person in Appendix)

NB: the relevant definitions can be found as an appendix to this application form.



Section 3: Self-Certification Declaration

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with you setting out how you may use and share the information supplied by me.

I acknowledge that you may disclose and transfer to your parent companies, and your and their respective branches, subsidiaries, affiliates, representative offices, or third party service providers located anywhere in the world (in or outside the country or jurisdiction in which I reside, in which my relationship with you is maintained, in which the account or transaction is booked, in which information is collected and/or retained or in which the transaction is conducted) the information contained in this form and other information regarding the Account Holder, any Controlling Person and any Reportable Account(s) when considered necessary by you for your business purposes or in connection with, to comply with, or to facilitate compliance with, any law, regulation, court order or requirement (including under any code, guideline, standard, policy, circular or notice) of a governmental, regulatory, supervisory, law enforcement, prosecuting, tax or similar authority or industry body in any jurisdiction existing currently or in the future, or for the purposes of ongoing cooperation with such governmental, regulatory and/or statutory authority, or to comply with any agreement or arrangement with such authority or between such authorities in any jurisdiction existing currently or in the future, I consent to and instruct and authorize you to make such disclosures and transfers and expressly waive any protection or right under data protection, confidentiality, or any other applicable law, to the extent necessary for such disclosures and transfers.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information with the country/ies in which this account(s) is/are maintained.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise you, the Financial Institution within 90 days of any change in circumstances which affects the tax residency status of the Account Holder identified in Part 1 of this form or causes the information contained herein to become incorrect (including any changes to the information on controlling persons identified in Part 2 question 2a), and to provide the Financial Institution that maintains the account with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify the Company in the event that information on this self-certification changes and to provide it with any appropriate supporting document or evidence of my revised status.

Signed by (please print name):				
Position (in organisation):				
Legal Name of Entity/Branch (Account Holder):				
Current Residence Address:				
Country of Incorporation or organisation:				
Signature:				
		Date (dd/mm/yyyy):		
Note: If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.				
Capacity:				



10 - Declarations and Signature(s)

As a shareholder, I/we by signing hereunder expressly declare, acknowledge and confirm the following:

- ✓ Subscription orders will only be accepted if all supporting registration documentation have been received and validated by the Transfer Agency before the relevant cut-off time as detailed in the latest copy of the prospectus.
- ✓ I/We have access to and have read a copy of the latest prospectus of the fund and the latest copy of the KIID for the shareclass of the applicable Sub-Fund and am/are fully aware of and understand the financial risk associated with a subscription to the fund and I/we accept that any market fluctuations may lead to a loss of all or part of my/our investment.
- ✓ I/We agree to transfer the correct subscription amount in the correct currency within the prescribed time limit to the correct bank account. I/We understand that in the case of late payment or non-payment of the correct subscription amount the subscription may be cancelled and the Company may take action, non-judicial or otherwise against me/us/our representative in order to recover any losses or costs incurred as a result of late or non-payment. I am/we are not a US Person or other class of ineligible investor in the Company (see prospectus for details). I am /we are not intending to become a US Person or other ineligible class of investor. By my/our signature/s to this Application, I/we undertake to notify the Company if I/we become a US Person or other class of ineligible investor, and I/we understand the consequences concerning compulsory disinvestment thereof pursuant to the prospectus.
- ✓ I am/ we are not applying for shares on behalf of any US Person or other ineligible class of Company investor.
- ✓ When making and signing an application with more than one Applicant, all registered holders must jointly authorise all dealing and other instructions in writing unless a separate signing authority has been confirmed by the Company under section 1 of this document. For additional joint-holders a separate notice must be completed and returned to the Transfer Agency for acceptance. As joint holders we agree that each Applicant shall be deemed to hold an equal share in the ownership of the investment account.

Data Protection

- ✓ I/we acknowledge that the under-mentioned types of personal data as provided to the Company or its authorised delegates by the Shareholder (the “Personal Data”) may be collected, recorded, stored, adapted, transferred or otherwise processed for the purposes set out below. Such Personal Data may comprise any number of the following types of personal information, namely (this list is not intended to be exhaustive):
 - first name, second and other names, last name, previous name, gender, date of birth, place of birth, nationality, passport number, citizenship, address residence, address correspondence, identity card with photo, proof of address, bank account data, IBAN and BIC codes, purpose of investment, source of wealth, profession, income, tax identifiers, tax status, tax certificates, power of attorney status, joint holders, related parties, beneficial owners, sanctions status, PEP status, previous contact details and addresses, telephone number, mobile number, email address, salutation, contact preferences, base currency preferences, language preferences, interested parties.

The Personal Data may be processed for the purposes of fulfilling the services contractually required by the Shareholders and to enable the Company to comply with its legal and contractual obligations which may include (i) maintaining the register of Shareholders, (ii) processing subscriptions and redemptions of Shares (iii) account and distribution fee administration, (iv) performing controls in respect of late trading and market timing practices, (v) complying with legal obligations such as the performance of the customer due diligence duties under the AML Law, the anti-money laundering identification, the tax identification under applicable regulation such as FATCA and the CRS Law (vi) providing or procuring the services, amongst others, relating to depositaries, custodianship, sub-custodianship, fund management, investment management, prime brokerage, brokers and dealing institutions, distributors, fund administrators, paying agents, valuers and tax, secretarial and accounting professionals and consultants,



In the context of the above mentioned purposes, the Company may delegate the processing of the Personal Data, in compliance and within the limits of the applicable laws and regulations, to other third party service providers including, amongst others, entities such as the Management Company, the Investment Manager, the Distributor, the Administrator, the Depositary and Paying Agent, (the “Processors”).

Subject to the Company’s prior approval, the Processors may be authorised to sub-delegate the processing of the Personal Data to sub-processors (“Sub-Processors”), and the Company may authorise the transfer for such purpose of Personal Data, to parent companies, affiliates, foreign offices, Processors, Sub Processors, or third party agents (the “Delegates”), which may or not be located in the EEA.

If a Delegate is located outside of the EEA, the Company or Processors appointing such delegate, shall ensure that the transfer of the Personal Data is made in compliance with the Data Protection Law. Such countries outside of Luxembourg are expected to include India, United States of America, South Africa or Hong Kong, although additional countries may be added from time to time.

The Company and its Processors and Sub Processors may, subject to Data protection Laws, disclose Personal Data to a regulatory, fiscal or judicial authority as required under local applicable laws and regulations.

The Company’s Privacy Notice, which can be accessed at

<https://www.momentumgim.co.uk/en/legal/privacy> provides details of, inter alia:

- The categories of Personal Data processed by the Company, and its Processors and Sub-Processors,
- the purposes of that processing;
- the principles and safeguards adhered to in performing these processing activities; and
- information about the legal rights of data subjects and how these rights may be exercised.

In summary Shareholders have the right to:

- access their Personal Data;
- correct their Personal Data where it is inaccurate or incomplete;
- object to the processing of their Personal Data;
- ask for erasure of their Personal Data; and
- ask for Personal Data portability in certain circumstances. .

For further details, please refer to the Company’s Privacy Notice.

Shareholders may exercise the above rights by letter addressed to the Company’s registered office. Shareholders or other data subjects also acknowledge the existence of the right to lodge a complaint with the National Commission for Data Protection.

The Shareholder may, at his/her/its discretion, refuse to communicate the Personal Data to the Company. In this case however, the Company may reject his/her/its request to subscribe to the Shares in the Company.

- ✓ The Company / Administrator is permitted to record telephone conversations with the Subscriber or third party authorised to act on his/her behalf, which recordings may be permitted as evidence in the event of a disagreement. The absence of recordings may not in any way be used against the Company / Administrator.



Fax indemnity

- ✓ I/We confirm that the Company and the Administrator may receive and act upon my/our faxed instructions, which the Administrator believes has been given in good faith. I/We agree to hold the Company and the Administrator harmless and to indemnify them for any loss or damage, which they may suffer as a result of acting on such faxed instructions. I/We agree that the Transfer Agency will not accept any transaction instructions or requests to change any account static data by email.
- ✓ The Company or its Agent shall communicate to me/us at my/our own risk. I/we agree to accept communications conveyed by electronic means such as facsimile or email and acknowledge that these are not secure forms of communication, which may accordingly give rise to higher risks of manipulation or attempted fraud, for which the Company, the Manager of any of their delegates shall have no liability.
- ✓ I/We consent to details relating to my/our application and holdings being accessed by or disclosed to the Company's Promoter/Investment Manager, Momentum Global Investment Management Limited and those Momentum Global Investment Management Limited companies to which it delegates marketing and investor servicing duties.

Should you wish to send your instruction by original letter / form only, then please tick as appropriate:

- I/We confirm that the Company and the Administrator shall **NOT** act upon my/our faxed instructions.

Beneficial owner declaration

Please tick as appropriate:

- I am/we are investing on my/our own behalf and I am/ we are the ultimate economic beneficiary(ies) of the funds and any subsequent income invested.
- I am/we are investing on behalf of a third party who is the ultimate economic beneficiary of the funds. If investing on behalf of a third party, the third party has to be fully identified unless the applicant is a professional of the financial sector regulated in a country subject AML regulations equivalent to those in Luxembourg.

Please note if one of the above boxes is not ticked, your application will be rejected.

Politically Exposed Person declaration

Please tick as appropriate:

- I/we acknowledge and agree that we are not a politically exposed person (a "PEP"). A PEP is any investor, or any director, or any beneficial owner thereof or any immediate family member or close associate of a senior government, political or military official.
- I/we acknowledge and agree that we are a politically exposed person (a "PEP"). A PEP is any investor, or any director, or any beneficial owner thereof or any immediate family member or close associate of a senior government, political or military official.

Please note if one of the above boxes is not ticked, your application will be rejected. If there is more than one Applicant, each Applicant must sign separately below.



Key Investor Information Document (KIID)

I/we confirm that we have access to and have read the KIID(s) relevant to the share class being invested.

Please note if the above box is not ticked your application will be rejected. The latest KIID can be obtained at www.momentumgim.com or by writing to Momentum GIM, 62 Queen Street, London, EC4R 1EB.

Signature 1:

.....

Signature 2:

.....

Signature 3:

.....

Signature 4:

.....

Date:

Place:

Appendix – Definitions Self-Certification

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS¹), the associated Commentary to the CRS, and domestic guidance. Relevant information can be found at the OECD automatic exchange of information portal at <http://www.oecd.org/tax/automatic-exchange/>.

If you have any questions then please contact your tax adviser or domestic tax authority.

“Account Holder” The term “Account Holder” means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

“Active NFE”

An NFE is an Active NFE if it meets any of the criteria listed below. In summary, those criteria refer to:

- active NFEs by reason of income and assets;
- publicly traded NFEs;
- Governmental Entities, International Organisations, Central Banks, or their wholly owned Entities;
- holding NFEs that are members of a nonfinancial group;
- start-up NFEs;
- NFEs that are liquidating or emerging from bankruptcy;
- treasury centres that are members of a nonfinancial group; or
- non-profit NFEs.

An entity will be classified as Active NFE if it meets any of the following criteria:

- a) less than 50% of the NFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;
- c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- e) the NFE is not yet operating a business and has no prior operating history, (a “start-up NFE”) but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;
- f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; **or**
- h) the NFE meets all of the following requirements (a “non-profit NFE”) :
 - i. it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
 - ii. it is exempt from income tax in its jurisdiction of residence;
 - iii. it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;



- iv. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
- v. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organisation, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision.

Note: Certain entities (such as U.S. Territory NFFEs) may qualify for Active NFFE status under FATCA but not Active NFE status under the CRS.

“Control”

“Control” over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest (typically on the basis of a certain percentage (e.g. 25%)) in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is/are identified as exercising control of the Entity through ownership interests, then under the CRS the Reportable Person is deemed to be the natural person who hold the position of senior managing official.

“Controlling Person” This is a natural person who exercises control over an entity. Where an entity Account Holder is treated as a Passive Non-Financial Entity (“NFE”) then a Financial Institution must determine whether such Controlling Persons are Reportable Persons. This definition corresponds to the term “beneficial owner” as described in Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012). In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust (including through a chain of control or ownership). Under the CRS the settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(ies) or class(es) of beneficiaries, are always treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

Where the settlor(s) of a trust is an Entity then the CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust.”

In the case of a legal arrangement other than a trust, “Controlling Person(s) means persons in equivalent or similar positions.

“Custodial Institution”

The term “Custodial Institution” means any Entity that holds, as a substantial portion of its business, Financial Assets for the account of others. This is where the Entity's gross income attributable to the holding of Financial Assets and related financial services equals or exceeds 20% of the Entity's gross income during the shorter of: (i) the three-year period that ends on 31 December (or the final day of a non-calendar year accounting period) prior to the year in which the determination is being made; or (ii) the period during which the Entity has been in existence.

“Depository Institution”

The term “Depository Institution” means any Entity that accepts deposits in the ordinary course of a banking or similar business.

“FATCA”

FATCA stands for the Foreign Account Tax Compliance provisions, which were enacted into U.S. law as part of the Hiring Incentives to Restore Employment (HIRE) Act on March 18, 2010. FATCA creates a new information reporting and withholding regime for payments made to certain non-U.S. financial institutions and other non-U.S. entities.

“Entity” The term “Entity” means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

“Financial Account” A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

“Financial Institution”

The term “Financial Institution” means a “Custodial Institution”, a “Depository Institution”, an “Investment Entity”, or a “Specified Insurance Company”. Please see the relevant domestic guidance and the CRS for further classification definitions that apply to Financial Institutions.



"Investment Entity"

The term "Investment Entity" includes two types of Entities:

(i) an Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:

- Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
- Individual and collective portfolio management; or
- Otherwise investing, administering, or managing Financial Assets or money on behalf of other persons.

Such activities or operations do not include rendering non-binding investment advice to a customer.

(ii) "The second type of "Investment Entity" ("Investment Entity managed by another Financial Institution") is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

"Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution"

The term "Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution" means any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is (i) managed by a Financial Institution and (ii) not a Participating Jurisdiction Financial Institution.

"Investment Entity managed by another Financial Institution"

"An Entity is "managed by" another Entity if the managing Entity performs, either directly or through another service provider on behalf of the managed Entity, any of the activities or operations described in clause (i) above in the definition of 'Investment Entity'.

An Entity only manages another Entity if it has discretionary authority to manage the other Entity's assets (either in whole or part). Where an Entity is managed by a mix of Financial Institutions, NFEs or individuals, the Entity is considered to be managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity, if any of the managing Entities is such another Entity.

"NFE"

An "NFE" is any Entity that is not a Financial Institution.

"Non-Reporting Financial Institution"

A Non-Reporting Financial Institution" means any Financial Institution that is:

- a Governmental Entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organisation or Central Bank; or a Qualified Credit Card Issuer;
- an Exempt Collective Investment Vehicle; or
- a Trustee-Documented Trust: a trust where the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported with respect to all Reportable Accounts of the trust;
- any other defined in a countries domestic law as a Non-Reporting Financial Institution.

"Participating Jurisdiction" A Participating Jurisdiction means a jurisdiction with which an agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard.

"Participating Jurisdiction Financial Institution"

The term "Participating Jurisdiction Financial Institution means (i) any Financial Institution that is tax resident in a Participating Jurisdiction, but excludes any branch of that Financial Institution that is located outside of that jurisdiction, and (ii) any branch of a Financial Institution that is not tax resident in a Participating Jurisdiction, if that branch is located in such Participating Jurisdiction.

"Passive NFE"

Under the CRS a "Passive NFE" means any: (i) NFE that is not an Active NFE; and (ii) Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

“Related Entity”

An Entity is a “Related Entity” of another Entity if either Entity controls the other Entity, or the two Entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.

“Reportable Account” The term “Reportable Account” means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person

“Reportable Jurisdiction” A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

“Reportable Person” A Reportable Person is defined as an individual who is tax resident in a Reportable Jurisdiction under the tax laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes.

In the case of an Entity A “Reportable Person” is defined as a “Reportable Jurisdiction Person”, other than:

- a corporation the stock of which is regularly traded on one or more established securities markets;
- any corporation that is a Related Entity of a corporation described in clause (i);
- a Governmental Entity;
- an International Organisation;
- a Central Bank; *or*
- a Financial Institution (except for an Investment Entity described in Sub Paragraph A(6) b) of the CRS that are not Participating Jurisdiction Financial Institutions. Instead, such Investment Entities are treated as Passive NFE's.)

“Resident for tax purposes”

Generally, an Entity will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions), it pays or should be paying tax therein by reason of his domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. Dual resident Entities may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for determining their residence for tax purposes. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. A trust is treated as resident where one or more of its trustees is resident. For additional information on tax residence, please talk to your tax adviser or see the OECD automatic exchange of information portal at <http://www.oecd.org/tax/automatic-exchange/>.

“Specified Insurance Company”

The term “Specified Insurance Company” means any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

“TIN” (including “functional equivalent”) The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the OECD automatic exchange of information portal at <http://www.oecd.org/tax/automatic-exchange/>.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.